



CULVERT CAPITAL

PRIVACY STATEMENT

MAY 21, 2025

CULVERT IS A FINRA REGISTERED BROKER/DEALER AND IS COMMITTED TO FOLLOWING APPLICABLE REGULATORY AND LEGAL REQUIREMENTS; THESE INCLUDE FOR THE PROTECTION OF PERSONAL IDENTIFIABLE INFORMATION (PII). CULVERT PROVIDES OPTIONS ORDER ROUTING AND OTHER SERVICES TO FINANCIAL INSTITUTIONS AND PROFESSIONAL TRADING FIRMS AND, AS SUCH, GENERALLY DOES NOT RECEIVE OR STORE PERSONAL INFORMATION

CONTACT DETAILS TO REQUEST MORE INFORMATION ABOUT CULVERT AND ITS SERVICES MAY BE SUBMITTED VIA THE CULVERT WEBSITE, FOR WHICH PEOPLE PROVIDE THEIR WORK EMAIL AND WORK PHONE NUMBERS. HOWEVER, SHOULD IT RECEIVE SUCH PII, CULVERT USES ESTABLISHED PRACTICES TO PROTECT THAT DATA, AND WILL NOT SELL OR LICENSE THAT DATA TO ANY THIRD PARTIES.